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PTO/SB/21 (08-00)

\ " ' '	yn (+) inside this box Reduction Act of 1995, n	_	U.S. Patent are equired to respond to a co	nd Trademark	I for use through 10/31/2002. OMB 0651-0031 Office: U.S. DEPARTMENT OF COMMERCE nation unless it displays a valid OMB control number.		
M2 H			Applicati n Nu	mber	10/091,606		
TRANSMITTAL FORM			Filing Date		March 4, 2002		
FORM			First Named Inv	entor/	Winking, Brad K.		
(to be used for all correspondence after initial filing)		Group Art Unit		2161			
•			Examiner Name				
Total Number of Pages in This Submission 4			Attorney Docket	Number	020375-005700US		
ENCLOSURES (check all that apply)							
Fee Transmittal F			ment Papers Application)		After Allowance Communication to Group		
Fee Attached		☐ Drawin	g(s)		Appeal Communication to Board of Appeals and Interferences		
Amendment / Res	sponse	Licens	ing-related Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
			n Routing Slip (PTO/ companying Petition		Proprietary Information		
· [7]			n to Convert to a ional Application		Status Letter		
			of Attorney, Revocat e of Correspondence		Other Enclosure(s) (please identify below):		
Express Abandonment Request		Termin	nal Disclaimer		Executed Declaration, copy of Notice to		
Requ		Reque	est for Refund		File Missing Parts, Return Postcard		
Information Disclo	sure Statement	CD, N	imber of CD(s)				
Certified Copy of Priority Document(s) Remains			The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.				
Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 Applicants Hereby Request a One-Month Extension of Time to Respond							
	SIGNA	TURE OF	APPLICANT, ATT	ORNEY, OF	RAGENT		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Townsend and Townsend and Crew LLP							
and Individual name Horace H. Ng Reg. No. 39,315							
Signature							
Date 6-13-02							
CERTIFICATE OF MAILING							
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.G. 20231 on this date:							
Typed or printed name Julie Taylor Clough							
Signature Dulie Taylor Clough Date 2 nce 13, 2002							

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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OPEC TO A MONITTAL	Complete If Known				
OFEE TRANSMITTAL -	Application Number	10/091,606			
JUN 1 9 2002 For FY 2002 Patent fees are subject to annual revision.	Filing Date	March 4, 2002			
D. E.	First Named Inventor	Winking, Brad K.			
Applicant class small entity status. See 37 CFR 1.27	Examiner Name				
491	Group Art Unit	2161			
TOTAL AMOUNT OF PAYMENT (\$) 1838.00	Attorney Docket No	020375-005700US			

METHOD OF PAYMENT (check all that apply)	_	FEE CALCULATION (continued)					
Check Credit Card MoneyOrder X Other None	3. ADDITIONAL FEES Large Entity Small Entity						
Deposit Account:	Fee	Fee	Fee	Fee	- Fee Description	Fee	
Deposit Account 20-1430	Code	(\$) 130	Code	(\$) 65	•	Paid 130	
Number 20-1430	105	130 50	205	65 25	Surcharge - late filing fee or oath	130	
	127	50	227	25	Surcharge - late provisional filing fee or cover sheet.		
Account Townsend and Townsend and	139	130	139	130	Non-English specification	\sqcup	
Name Crew LLP	147	2,520	147	2,520	For filing a request for reexamination		
The Commissioner is authorized to: (check all that apply) Charge fee(s) indicated below X Credit any overpayments	112	920*	112	920*	Requesting publication of SIR prior to Examiner action		
Charge any additional fee(s) during the pendency of this application	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		
Charge fee(s) indicated below, except for the filing fee	115	110	215	55	Extension for reply within first month	110	
to the above-identified deposit account.	116	400	216	200	Extension for reply within second month		
FEE CALCULATION	117	920	217	460	Extension for reply within third month		
1. BASIC FILING FEE	118	1,440	218	720	Extension for reply within fourth month		
Large Entity Small Entity	128	1,960	228	980	Extension for reply within fifth month		
Fee Fee Fee Fee <u>Fee Description</u> Code (\$) Code (\$) Fee Paid	119	320	219	160	Notice of Appeal		
101 740 201 370 Utility filing fee 740	120	320	220	160	Filing a brief in support of an appeal		
106 330 206 165 Design filing fee	121	280	221	140	Request for oral hearing		
107 510 207 255 Plant filing fee	138	1,510	138	1,510	Petition to Institute a public use proceeding		
108 740 208 370 Reissue filing fee	140	110	240	55	Petition to revive – unavoidable		
114 160 214 80 Provisional filing fee	141	1,280	241	640	Petition to revive – unintentional		
SUBTOTAL (1) (\$)740	142	1,280	242	640	Utility issue fee (or reissue)		
	143	460	243	230	Design issue fee		
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	144	620	244	310	Plant issue fee		
Fee from Extra Claims below Fee Paid	122	130	122	130	Petitions to the Commissioner		
Total Claims 63 -20** = 43 X \$18 = \$774	123	50	123	50	Petitions related to provisional applications		
Independent Claims = 1 X \$84 = \$84	126	180	126	180	Submission of Information Disclosure Stmt		
Multiple X = Dependent Large Entity Small Entity	581	40	581	40	Recording each patent assignment per property (times number of properties)		
Fee Fee Fee Code Fee Fee Description (\$)	146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))		
103 18 203 9 Claims in excess of 20	149	740	249	370	For each additional invention to be		
102 84 202 42 Independent claims in excess of 3	1		1		examined (37 CFR § 1.129(b))		
104 280 204 140 Multiple dependent claim, if not paid	179	740	279	370	Request for Continued Examination (RCE)		
109 84 209 42 ** Reissue Independent claims over original patent	169	900	169	900	Request for expedited examination of a design application		
110 18 210 9 ** Reissue claims in excess of 20 and over original patent	Other	Other fee (specify)—————					
SUBTOTAL (2) (\$)858	<u> </u>					<u> </u>	
**or number previously paid, if greater; For Reissues, see above	*Red	uced by B	asic Filir	ng Fee P	aid SUBTOTAL (3) (\$)240		

SUBMITTED BY Complete (if applicable)					
Name (Print/Type)	Horace H. Ng Registration No. (Attorney/Agent)	39,315	Telephone	415-576-0200	
Signature	Sh-		Date	6/13/02	

WARNING: Information on this form may become public. Credit card information should not be Included on this form. Provide credit card information and authorization on PTO-2038.

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Page 1 of 1

COMMISSIONER FOR PATENTS United States Patent and TRADEMARK OFFICE WASHINGTON, D.C. 20231

www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/091,606

03/04/2002

Brad K. Winking

020375-005700US

20350 TOWNSEND AND TOWNSEND AND CREW, LLP TWO EMBARCADERO CENTER **EIGHTH FLOOR SAN FRANCISCO, CA 94111-3834**

CONFIRMATION NO. 7664 **FORMALITIES LETTER** OC000000007851468°

Date Mailed: 04/11/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- · The statutory basic filing fee is missing. Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$858.
 - \$774 for 43 total claims over 20.
 - \$84 for 1 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1728.

A copy of this notice MUST be returned with the reply.

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Initial Patent Examination Division (703) 308-1202

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